



**REQUEST
for
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

*Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provided for continued examination of a utility or plant application
filed on or after June 8, 1995.*

See the American Inventors Protection Act of 1999 (AIPA).

| | |
|------------------------|---------------------|
| Application Number | 09/436,656 |
| Filing Date | November 9, 1999 |
| First Named Inventor | Kenji TAGAWA et al. |
| Group Art Unit | 3627 |
| Examiner Name | Gerald J. O'Connor |
| Attorney Docket Number | 99_1229A |
| Confirmation No. | 6961. |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.*

1. Submission required under 37 C.F.R. § 1.114
 - a. ☒ Previously submitted:
 - i. ☒ Please enter and consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on April 22, 2004
 - ii. ☐ Please consider the arguments in the Appeal Brief or Reply Brief previously filed on
 - iii. ☐ Other_
 - b. ☒ Enclosed:
 - i. ☐ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)
 - iv. ☒ Other Request for Interview
2. Miscellaneous
 - a. ☐ Suspension of action on the above-identified application is required under 37 C.F.R. § 1.103(c) for a period of months. (period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required).
 - b. ☐ Other_
3. Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.)
 - a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. .
 - i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
 - ii. ☐ Extension of time fee (37 C.F.R. § 1.136 and § 1.17)
 - iii. ☐ Other_
 - b. ☒ Check in the amount of \$770.00 is enclosed

4. CORRESPONDENCE ADDRESS

CUSTOMER NO.
000513

By: Kenneth Fields

Kenneth W. Fields
Registration No. 52,430

WENDEROTH, LIND & PONACK, L.L.P.
2033 "K" Street, N.W., Suite 800
Washington, D.C. 20006-1021
Phone: (202) 721-8200
Fax: (202) 721-8250

June 2, 2004

06/03/2004 CCHAU1 00000147 09436656

01 FC:1801

770.00 OP

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: BOX RCE**
Kenji TAGAWA et al. : Docket No. 99_1229A
Serial No. 09/436,656 : Group Art Unit 3627
Filed November 9, 1999 : Examiner G. J. O'Connor
: **Confirmation No. 6961**

DATA CONVERSION APPARATUS AND METHOD IN COPYRIGHT PROTECTING SYSTEM

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$770.00 to cover Patent Office fees relating to filing the following attached papers:

Request for Continued Examination (RCE) \$770.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Kenji TAGAWA et al.

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

By Kenneth W. Fields
Kenneth W. Fields
Registration No. 52,430
Attorney for Applicants

KWF/kes
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006-1021
Telephone (202) 721-8200
June 2, 2004

[Check No. 62098]
99_1229A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: **Attn: BOX RCE**

In re application of : **Confirmation No. 6961**

Kenji TAGAWA et al. : Docket No. 99_1229A

Serial No. 09/436,656 : Group Art Unit 3627

Filed November 9, 1999 : Examiner G. J. O'Connor

DATA CONVERSION APPARATUS AND METHOD IN COPYRIGHT PROTECTING
SYSTEM

REQUEST FOR INTERVIEW

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request the Examiner to grant the undersigned attorney an interview prior to a first action after RCE, in accordance with MPEP § 706.07(b). Please contact the undersigned attorney at (202) 721-8200 to arrange for such an interview.

Respectfully submitted,

Kenji TAGAWA et al.

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

By: Kenneth W. Fields
Kenneth W. Fields
Registration No. 52,430
Attorney for Applicants

KWF/kes
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
June 2, 2004